



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	10/705,416		
	<b>Filing Date</b>	November 10, 2003	
	<b>First Named Inventor</b>	Matthew A. Katzer	
	<b>Group Art Unit</b>	3617	
	<b>Examiner Name</b>		
<b>Total Number of Pages in this Submission</b>		<b>Attorney Docket Number</b>	KLR:7431.0063

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee transmittal <input type="checkbox"/> Fee attached form <input type="checkbox"/> Amendment <input type="checkbox"/> After Final/ Response <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing Related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosures (identify below) Acknowledgment Postcard and form 1449 with prior art.
Remarks: <i>Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.</i>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP
Signature	
Date	January 23, 2004

CERTIFICATE OF MAILING			
I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
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Type or print name	Kevin L. Russell		
Signature		Date	January 23, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION EXAMINING OPERATIONS

Applicant : Katzer, Matthew A.                      Group Art Unit:        3617  
Serial No. : 10/705,416                              Examiner :  
Filed : November 10, 2003  
Title : MODEL TRAIN CONTROL SYSTEM

INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower  
601 S.W. Second Avenue  
Portland, Oregon 97204-3157  
January 23, 2004

Mail Stop Patent Applications (IDS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

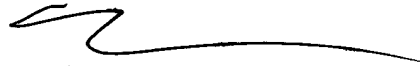
Applicant submits herewith Form PTO-1449 (Modified) listing the prior art references of which applicant is aware and which applicant desires to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith are set forth on the attached Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,




Kevin L. Russell  
Reg. No. 38,292  
Attorney for Applicant  
Tel: (503) 227-5631

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, P. O. Box 1450, Alexandria, VA., on January 23, 2004.

Dated: January 23, 2004

  
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Kevin L. Russell

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

APPLICANT

Matthew A. Katzer

FILING DATE  
November 10, 2003GROUP  
3617

Use several sheets if necessary)

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

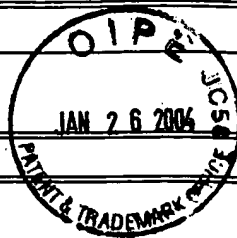
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,307,302	Dec. 22, 1981	Russell			
	AB	4,853,883	Aug. 1, 1989	Nickles, et. al.			
	AC	5,475,818	Dec. 12, 1995	Molyneaux, et. al.			
	AD	5,493,642	Feb. 20, 1996	Dunsmuir, et. al.			
	AE	5,681,015	Oct. 28, 1997	Kull			
	AF	5787,371	July 28, 1998	Balukin, et. al.			
	AG	5,86,017	April 20, 1999	Severson, et. al.			
	AH	5,940,005	Aug. 17, 1999	Severson, et. al.			
	AI	5,952,797	Sept. 14, 1999	Rössler			
	AJ	6,065,406	May 23, 2000	Katzer			
	AK	6,267,061 B1	July 31, 2001	Katzer			
	AL	6,270,040 B1	Aug. 7, 2001	Katzer			
	AM	6,460,467 B2	Oct. 8, 2002	Katzer			
	AN	6,494,408 B2	Dec. 17, 2002	Katzer			
	AO	6,530,329 B2	Mar. 11, 2003	Katzer			
	AP	6,676,089 B1	Jan. 13, 2004	Katzer			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE FILED	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							

## OTHER ART

	CA	NMRA DCC Standards and Recommended Practices, National Model Railroad Association, Inc., 41 pps, issued March 1997.
	CB	Chapell, David, <i>Understanding ActiveXtm and OLE Copyright</i> ©, published in 1996 by Microsoft, Inc., 329 pps.



Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

Q:\Bonnie Dillon\KLR\KAM11449 Disclosure Form 0063.wpd;  
January 22, 2004 (5:22PM)